



(19) Europäisches Patentamt  
European Patent Office  
Office européen des brevets



(11) EP 0 639 023 B1

(12)

## EUROPEAN PATENT SPECIFICATION

(45) Date of publication and mention  
of the grant of the patent:  
**04.06.1997 Bulletin 1997/23**

(51) Int Cl.<sup>6</sup>: **H04N 1/405**

(21) Application number: **94201857.3**

(22) Date of filing: **28.06.1994**

### (54) Method for producing frequency-modulated halftone images

Verfahren zum Erzeugen frequenzmodulierter Halbtonebilder

Procédé de fabrication des images de demi-teintes à modulation de fréquence

(84) Designated Contracting States:  
**BE DE FR GB NL**

### (56) References cited:

**EP-A- 0 095 716** EP-A- 0 207 246  
**EP-A- 0 291 300** EP-A- 0 334 518  
**WO-A-92/13421** US-A- 4 347 523

(30) Priority: **13.08.1993 US 106333**

(43) Date of publication of application:  
**15.02.1995 Bulletin 1995/07**

- JOURNAL OF APPLIED PHOTOGRAPHIC ENGINEERING, vol.5, no.4, 1979 pages 179 - 182 P. G. ROETLING ET AL. 'Tone Reproduction and Screen Design for Pictorial Electrographic Printing'
- W. F. SCHREIBER 'Fundamentals of Electronic Imaging Systems' 1986 , SPRINGER-VERLAG , BERLIN (DE)
- 1977 SID International Symposium, Digest of Technical Papers; Society for Information Display, Los Angeles (US), 1977 ; K.Y. WONG et al.: "Adaptive Switching of Dispersed and Clustered Halftone Patterns for Bi-Level Image Rendition"
- RCA REVIEW, vol.31, no.3, September 1970, PRINCETON(US) pages 517-533 R. J. KLENSCH ET AL. 'Electronically Generated Halftone Pictures'

(73) Proprietor: **AGFA-GEVAERT**  
naamloze vennootschap  
2640 Mortsel (BE)

### (72) Inventors:

- Delabastita, Paul  
B-2640 Mortsel (BE)
- Deschuytere, Frank  
B-2640 Mortsel (BE)

EP 0 639 023 B1

Note: Within nine months from the publication of the mention of the grant of the European patent, any person may give notice to the European Patent Office of opposition to the European patent granted. Notice of opposition shall be filed in a written reasoned statement. It shall not be deemed to have been filed until the opposition fee has been paid. (Art. 99(1) European Patent Convention).

**Description**

The present invention relates to the halftoning of continuous tone images for use in high quality reproduction of such images.

5

**Background of the invention.**

Many reproduction methods are only capable of reproducing a small number of stable image tones. For example, offset printing or electrophotographic printing methods are only capable of printing two stable tone values i.e. deposit ink or toner or not. In order to reproduce images having continuous tones, a halftoning or screening technique is used. In the graphic arts environment, halftoning techniques convert density values of tints and images into a geometric distribution of binary dots that can be printed. The eye is not able to see the individual halftone dots, and only sees the corresponding "spatially integrated" density value. In a more general context, halftoning techniques can be seen as methods to convert "low spatial, high tonal resolution information" into an equivalent of "high spatial, low tonal resolution information". (The qualifiers "low" and "high" have to be seen on a relative scale in this context).

Two main classes of halftoning techniques have been described for use in the graphic arts field. These two techniques are known as "amplitude modulation" and "frequency modulation" halftone screening. In amplitude modulation screening the halftone dots, that together will give the impression of a particular tone, are arranged on a fixed geometric grid. By varying the size of the halftone dots the different tones of images can be simulated. Consequently this technique can also be called "dot-size modulation screening". In frequency modulation screening the distance between the halftone dots is modulated rather than their size. This technique, although well known in the field of low resolution plain-paper printers, has not obtained much attention for offset printing and other high-end printing methods probably because of the disadvantages to be discussed further on.

Both classes of halftone techniques are used in combination with a digital film recorder. A typical digital film recorder consists of a scanning laser beam exposing a photosensitive material at high resolution. The "grid" that defines the resolution at which the laser beam can be switched on or off usually has an element size in the range of 1/1800 of an inch. The photosensitive material can be a photographic film, from which a printing plate is later prepared by means of photomechanical techniques. The smallest addressable unit on a recorder is often called a "micro dot", "recorder element", or "rel". As illustrated in FIG. 1A and FIG. 1B, a dot-size modulated halftone dot is made up of a clustered set of recorder elements, while frequency modulated halftone dots constitute a dispersed set of individual recording elements.

The most important characteristics of a screening or halftoning technique for faithfully reproducing continuous tone information include:

- 35 1) The image rendering characteristics, more specifically the capability of the technique to render spatial detail in the original image content without the introduction of artifacts such as moiré, textures and noise, as well as the capability to render a full range of tones;
- 2) The photomechanical characteristics of the halftone dots produced by the method, which determine how consistently halftone dots can be recorded, copied or duplicated in the different steps of the photomechanical preparation of the printing plates; and,
- 3) The behaviour of the halftones on an offset printing press.

The two classes of halftoning techniques, each with some of their variants, will now be reviewed in the light of the above characteristics, and their advantages and disadvantages will be discussed.

45

**Amplitude Modulation Screening**

Amplitude modulation screening has as its major advantages that it has excellent photomechanical reproduction characteristics, and that, for screens with rulings up to 200 dots / inch, it prints predictably on offset presses. An important disadvantage of amplitude modulation screening, however, is the fact that unwanted patterns can occur within the halftoned image. Depending on their origin, these patterns are called subject moiré, color moiré and internal moiré. Subject moiré results from the geometric interaction between periodic components in the original subject matter and the halftone screen itself. Methods addressing subject moiré are disclosed in e.g. US-A-5,130,821, EP-A-369,302 and EP-A-488,324. These methods do not, however, completely solve the problem.

Color moiré results from interferences between the halftones of the different color separations of the image. The use of screen angles for the different color separations shifted by 60 degrees with respect to each other has been suggested to address this problem. Several disclosures relate to the problem of generating screens with these angles or close approximations thereof. See for example US-A-4,419,690, US-A-4,350,996, US-A-4,924,301 and US-A-

5,155,599. Other combinations of angles, frequencies or relative phases of the halftone dot patterns for the different color separations have also been employed to overcome the problem of color moiré as described in US-A-4,443,060, US-A-4,537,470 and EP-A-501,126.

Internal moiré refers to patterns resulting from the geometric interaction between the halftones and the addressable grid on which they are rendered. Methods to reduce internal moiré are usually based on the introduction of a random element that breaks up or "diffuses" the phase error that periodically builds up as a consequence of the frequency and angle relation between the halftone screen and the addressable grid on which it is rendered. Examples of such techniques are disclosed in US-A-4,456,924, US-A-4,499,489, US-A-4,700,235, US-A-4,918,622, US-A-5,150,428 and WO-A-90/04898.

10

#### Frequency Modulation Screening

None of the variants of the dot-size modulation screening have proven to be successful in completely eliminating the moiré problems and dot frequency modulation screening techniques have therefore been suggested to further reduce the problem. Various dot frequency modulation screening techniques have been disclosed and they can be divided into the following subclasses: (1) Point-to-point thresholding based techniques; (2) Error Diffusion techniques (and their variations); and, (3) Special techniques, such as that disclosed in DE-A-29,31,092, and further developed in US-A-4,485,397.

The most representative example of point-to-point thresholding is the halftoning based on the "Bayer" dither matrix  
20 Bayer, B.E., "An Optimum Method for Two-Level Rendition of Continuous-Tone Pictures", Proc. IEEE International Conference on Communications, Conference Record, pp. (26-11), (26-15), 1973. This Bayer dither matrix has a size that is a power of two, and contains threshold values that are arranged in such a fashion that, when thresholded against increasing levels of density, every halftone dot is "as far away as possible" from the halftone dots that are used to render the lower density levels.

25 Another point-to-point thresholding technique uses a "Blue Noise Mask" instead of a Bayer dither matrix. It is described in US-A-5,111,310. The Blue Noise Mask is the result of an optimization (filtering) of a non-deterministic randomized mask performed iteratively (for the subsequent threshold "layers") between the halftone dot patterns produced by the mask and their Fourier transform.

30 The halftone dot patterns produced by the Bayer dither matrix contain strong periodic components, visible as "texture" that can potentially create moiré problems similar to the dot-size modulation algorithms. Because the energy of the periodic dither components is "spread" over the different harmonics, and because most of these harmonics have a relatively high frequency compared to the fundamental frequency of dot-size modulation, the moiré which occurs is less disturbing.

35 The "Blue Noise Mask" threshold matrix produces distributions of halftone dots which are aperiodic. This method is therefore free of the moiré problems which occur with the dot-size modulation methods or with the Bayer dither matrix. The aperiodic character of the halftone dot distributions of the Blue Noise Mask technique translates in the frequency domain into a "continuous" power spectrum. This suggests that at least some energy is also present in the very low frequency bands of the spectrum. This energy at low (visible) spatial frequencies is one of the reasons why tints rendered with the Blue Noise Mask technique may appear grainy. The relation between "graininess" introduced by frequency modulation halftoning techniques and the shape of the frequency spectrum is extensively discussed by Ulichney, Robert, "Digital Halftoning", MIT Press Cambridge Massachusetts, 1987, ISBN 0-262-21009-6.

40 Perhaps the best known of all "frequency modulation" techniques is the error diffusion algorithm. It comes in many variations, but the principle is always the same: the error that occurs as a result of the binarization (or, in a more general context, the quantization) of the image data during the rendering is "diffused" to one or more of the unprocessed pixels.

45 Best known is the Floyd and Steinberg algorithm (Floyd, R.W., and L. Steinberg, "An Adaptive Algorithm for Spatial Greyscale", Proc. SID, vol. 17/2, pp. 75-77).

A "frequency modulation" technique is also described in WO-A-9 213 421 from which claim 1 starts in its preamble.

50 All of the frequency modulation halftoning techniques that produce aperiodic halftone dot distributions share the advantage that they are much less sensitive to the problems of moiré than the "dot-size modulation" techniques. Unfortunately, they also share the disadvantages of poor photomechanical behaviour and a high tone gain on the press.

#### Dot Gain

55 The problem of tone gain arises from the fact that halftone dots can change their size in the various steps of the reproduction process. The first place where this takes place is during the recording of the halftone dots on film. This is related to the fact that the physical reconstruction function of the recorder / film system does not behave ideally. An "ideal recorder" would be capable of imaging "square" recorder elements with a size equal to one recording period on its addressable grid. Although a rigorous analysis is more complicated than it first appears, it is quite easy to accept

the fact that in most practical systems, the optics of the recorder are not able to focus the scanning laser beam well enough to achieve this ideal. Instead, a recorder element is imaged as a more or less rounded square spot with an area that exceeds the area of an ideal recorder element. This results in a gain in size of the black halftone dots on the film. On positive films, this results in a tone reproduction that makes the image generally darker. On negative films, on  
5 the other hand, it makes the printed image lighter.

A second step in which changes in dot size can occur is the plate-making process. As in the previous discussion, a distinction must be made between "positive" and "negative" plate-making processes. In the positive plate-making process, internal light diffusion causes a smaller area on the plate to remain hydrophobic than the area of the corresponding black dot on the film. The resulting image is accordingly lighter than that corresponding to the film. In the  
10 negative plate-making process on the other hand, light diffusion causes a slightly larger area on the plate to become hydrophobic than the white (negative) dot on the film. Hence the dot that is printed is enlarged as a consequence of this effect, darkening the image.

It turns out that the way positive and negative halftone dots change size on the plate is just the opposite of the  
15 way they change size on the recorder. Both effects cancel each other to some extent, but it is usually the change in the plate-making process which dominates the net final effect. In this case it is also clear that dispersed halftone dot distributions change size more than clustered halftone patterns, thereby increasing the net final effect.

A third place where dots change size is on the printing press itself. Two effects take place in this case. First there  
20 is the "physical dot gain" which is related to the fact that the ink of the halftone dots spreads out physically during the transfer from the (offset press) blanket to the paper on which printing is taking place. A second effect is called "optical dot gain", and is related to the optical dispersion which takes place in the paper at the boundaries of the halftone dots.  
FIG. 2 shows that a certain fraction of the light that has been spectrally filtered by the halftone dot near its boundary  
25 is diffused to the outside of the halftone dot, making the halftone dot effectively appear larger. Physical and optical dot gain each cause an apparent increase in the size of dots, and therefore cause tones to be rendered darker.

Since the gain in dot size is highly related to effects which take place at the boundaries of the halftone dots, it is  
25 to be expected that the amount of gain will be higher with halftone algorithms producing dispersed dither patterns as opposed to those producing clustered halftone dots. This is illustrated by means of FIG. 1A and FIG. 1B, which compares clustered dots and a distribution of dispersed dots having the same total area. The total perimeter of the dispersed dots in FIG. 1A however is four times larger than that of the clustered dots in FIG. 1B, and the total amount of gain is therefore expected to be four times as large.

30 FIG. 3 shows the result of the above discussions in practical situations. The x-axis shows the nominal dot value on a scale from 0.0 to 1.0. This is the digital value dot area as it is offered to the halftoning process. On the y-axis is shown the "dot area on paper" as it is obtained from the spatially-integrated density value using the Murray Davis equation. The Murray Davis equation is a mathematical translation of the assumption that a dot area can be associated with a tint that is proportional to the amount of its spatially integrated absorption (See Yule, J. A. "Principles of Color  
35 Reproduction", John Wiley and Sons, 1967 ).

In FIG. 3 the curve (a) shows the gradation that is obtained with a 150 line per inch (lpi) conventional screen, while  
40 the curve (b) is the result of measurement of a dispersed halftone with dots having a size of 21 microns. Both (a) and (b) show the global gradation of a negative plate making process (i.e., recorder gain, plate gain, physical and optical press gain). As is immediately clear from the figures, the total gain of the dispersed dot dither is significantly higher than the total gain of the clustered dot dither.

In digital image processing systems, it is of course possible to compensate for the tone shifts which occur as a result of the dot gain. A common way to do this is by using a lookup table (LUT) that compensates for the tone shifts. This method is schematically represented in FIG. 4. The lookup table 402 transforms the original 8-bit value 404 into a new 8-bit value 406 which is compensated to cancel the tone shift which occurs in later steps of the reproduction process. A disadvantage of this approach however is that, as a result of the compensation accomplished by the lookup table, the number of reproducible shades of tone of the image is reduced. This is easily understood by means of FIG. 5, which shows the gradation compensated tone values  $V'$  as a function of given original tone values  $V$ . In the figure, the 45 degree line 502 corresponds to the case  $V' = V$ , i.e., to no change in value. The curve 504 represents compensation for darkening in the lower tone values. It can be seen that, for the curve 504, certain of the original quantized shades are mapped to the same shade after correction, while certain of the available shades on the output axis scale are never used. In the example of the curve 504 of FIG. 5, input values  $V=1$  and  $V=2$  both map to the same output value  $V'=1$  as a result of the 8-bit resolution limit.

Loss of resolution in the compensated values  $V'$  can be particularly severe if the lookup table of FIG. 4 must  
55 compensate for the steep gradation produced by a dispersed dot dither algorithm, in which case it is possible that the number of shades is reduced sufficiently to show visible tone quantization in the final image reproduction. It can be calculated that, if the gradation of curve (a) in FIG. 3 is compensated using an 8-bit table lookup procedure, the effective number of visible shades is reduced from the initial 256 to approximately 180.

## Gradation Correction of a Periodic Screen Function

The use of a periodic screen function for gradation correction is known in the art. For example, a one dimensional model of a periodic screen function, typical of that used for dot-size modulation screening techniques, is shown in FIG. 6. In the figure,  $S$  is a screening or thresholding function which is periodic with regard to the position coordinate  $x$ , thereby yielding a set of values  $S(x)$  for given values of  $x$ .  $V(x)$  represents the pixel values as a function of the position coordinate  $x$ . Halftoning is achieved by comparing at every position  $x$  the pixel value  $V(x)$  with the screen function value  $S(x)$ . If the latter is larger than the former, the halftone value  $H(x)$  is set to 0, otherwise it is set to 1:

10 if ( $V(x) < S(x)$ ) then  $H(x) = 0$ ; else  $H(x) = 1$  (1)

The integrated halftone dot value over the distance from 0 to X is equal to:

$$\int_{x=0}^X H(x) dx \quad (2)$$

20 The average halftone dot value A over the distance from 0 to X equals:

$$25 \quad A = \frac{\int_{x=0}^X H(x) dx}{X} \quad (3)$$

30 Assuming now that:

- 1)  $V(x)$  is constant, with the value  $V$ ;
  - 2)  $S(x)$  is periodic and symmetrical with a period equal to  $P$ ; and,
  - 3) the distance from 0 to  $X$  is equal to a large number of periods  $P$ ,

the integral given by equation (3) is also equal to:

$$A = \frac{\int\limits_{x=0}^{P/2} H(x) dx}{P/2} \quad (4)$$

or, taking into account equation 1:

$$A = \frac{\int_{x=0}^{S^{-1}(V)} dx}{P/2} \quad (5)$$

#### Calculating the integral yields:

$$A = S^{-1}(V) / (P/2) \quad (6)$$

5 The above results show that the average dot area A of a constant tint varies proportionally to the inverse screen function value of that tint, requiring of course that such an inverse screen function exists. If the screen function S consisted of a triangular wave function, the average dot area would vary proportionally with the tint value. If however, S(x) were equal to  $\cos(\pi x / P)$ , the average dot area would vary proportionally to the arccosine of the pixel value.

10 As a result of the fact that the relation between tone and average dot area can be controlled by means of a periodic screen function, has made it possible, in prior-art processing using dot size halftone screening methods, to perform gradation compensation by manipulating the screen function rather than the pixel values themselves. This is advantageous, in contrast to the situation with which gradation compensation is carried out by altering the image pixels before halftoning, since there is accordingly no reduction in the number of reproducible shades.

15 As seen in the earlier discussion of dot gain, a non-periodic screen function, and in particular a stochastic screen function, produces such an increase in dot size that it is all the more necessary to be able to perform gradation compensation without loss of tone scale.

#### Objects of the invention.

20 It is accordingly a general object of the invention to provide an improved method for correcting the gradation of a halftone image prepared using frequency-modulated halftone screening, without reducing the number of tone values which can be produced in the final image reproduction.

It is a specific object of the invention to provide non-periodic screen function gradation correction in halftoning technology.

25 It is a further specific object of the invention to provide gradation correction in combination with any non-periodic thresholding halftoning technology, including techniques which produce either clustered dot patterns or dispersed dot dither patterns as the means for printing tone values.

30 It is a feature of the invention that use of the compensation within the screening procedure allows combination of different screening technologies, each requiring a different kind and quantity of gradation compensation, within a single application. This is for example the case when page components are combined of which some are halftoned using frequency modulation techniques, while others are halftoned with dot-size modulation techniques. This feature provides the advantage that images with detail and texture can be rendered using frequency modulation techniques, which provide superior detail rendering, while "flat" tints can be rendered using dot modulation techniques, which do not introduce graininess (which is particularly noticeable in flat tints).

35 It is an additional feature of the invention that, in addition to tone gradation corrections compensating for the gain effects described previously, gradation alterations may be made specifically for aesthetic purposes.

#### Summary of the invention

40 The above mentioned objects are realised by the specific features according to claim 1. Preferred embodiments of the invention are disclosed in the dependent claims.

45 As an aid to understanding the discussion to follow, the terms defined herein apply to the entire specification and claims, and are indicated by capitalization. The term FREQUENCY-MODULATION HALFTONING shall mean any halftoning technique except bi-level, exclusively dot-size modulated, halftoning based upon a periodic screen function. Specifically included but without limitation are:

1. any halftoning technique in which the number of halftone dots per unit area varies with the tone value;
2. any halftoning technique in which the size of the halftone dots, in addition to the number of dots per unit area, varies with the tone value; and,
3. any halftoning technique where the centers of the halftone dots are not laid out on a periodic grid.

50 The term FREQUENCY-MODULATION HALFTONE SCREEN shall mean a screen generated by the process of FREQUENCY-MODULATION HALFTONING. The term NON-HALFTONE VALUE(S) shall mean one or more of the following value(s):

- 55 1. screen function value(s);
2. contone pixel value(s);
3. error value(s);

4. threshold value(s); or,  
 5. error-altered pixel value(s).

Detailed description of the invention.

- 5 The invention will be best understood from a detailed description of preferred embodiments thereof, selected for purposes of illustration, and presented with reference to the accompanying drawings, in which:
- 10 FIG. 1A and FIG. 1B show the total dot gain characteristic of dispersed halftone dot patterns in comparison with that of a clustered halftone dot distribution;
- FIG. 2 illustrates the "optical dot gain" effect in the printing process;
- FIG. 3 compares tone gradation obtained with a conventional 150 lpi screen with that obtained by measuring a dispersed halftone using 21-micron dots;
- 15 FIG. 4 illustrates the table lookup method for tone-shift compensation;
- FIG. 5 illustrates the reduction in the number of reproducible tone values in the final image reproduction resulting from the use of table lookup tone-shift compensation;
- FIG. 6 illustrates the use of a periodic screen function with a constant contone value to produce a binary output value;
- 20 FIG. 7A and FIG. 7B illustrate the use of a stochastic screen function to produce binary output values, before and after application of the method of the invention;
- FIG. 8 shows a first circuit to perform gradation compensation using the method of the invention in combination with a binary recording device;
- FIG. 9 shows a second circuit for gradation compensation, containing more than one screen function generator; and,
- 25 FIG. 10 shows a third circuit for gradation compensation, based upon error diffusion screening techniques.

One method for producing the halftone screen is now explained in formal terms for the gradation correction using a non-periodic screen function. In the halftoning art, the "non-periodic" characteristic of the screen function is typically referred to as "stochastic". Another method, implemented through error-diffusion techniques, will also be explained.

30 Finally, circuits are described which show embodiments of the invention according to the two methods.

Gradation Correction of "Stochastic" Screen Function

Methods for producing a stochastic screen function are well known in the art, and are described in e.g., US 35 5,111,310. In order to simplify the analysis, a one-dimensional model of a stochastic screening process will be used. A stochastic screen function  $S(x)$  produces a pseudo-random sequence of threshold values as shown in FIG. 7A. As in the case of the periodic screen function, the average integrated halftone dot value is expressed by:

40

$$A = \frac{\int_{x=0}^X H(x) dx}{X} \quad (7)$$

45

The expression (7) is difficult to evaluate. In order to simplify the evaluation, the screen function  $S$  is replaced by another screen function  $S'$  which produces exactly the same threshold values as the original statistical screen function  $S$  but in a different sequence. More precisely, the sequence of the threshold values of the function  $S'$  is such that the threshold values  $S'(x)$  are ordered according to their values, from smallest to largest. FIG. 7B shows a one-dimensional representation of the altered function  $S'(x)$ .

For the latter screen function, the average integrated halftone dot value is given by:

55

5

$$A' = \frac{\int_{x=0}^X H'(x) dx}{X} \quad (8)$$

10

Obviously, both screen functions will produce exactly the same average integrated halftone dot values:

15

This equality would still hold if both screen functions  $S$  and  $S'$  were to undergo the same transformation. Assuming that the pixel value is constant over the domain from 0 to  $X$  the equation (9) can be simplified to:

20

$$A' = \frac{\int_{x=0}^{S^{-1}(V)} H'(x) dx}{X} \quad (10)$$

25

By taking into account equation (1), the previous equation can be rewritten as:

30

$$A' = \frac{\int_{x=0}^{S^{-1}(V)} dx}{X} \quad (11)$$

35

And this leads, together with (9) to:

40

The conclusion is the same as with the periodic screen function: the gradation of a halftone can be controlled by altering the screen function as opposed to altering the pixel values. If the screen function values are quantized after the transformation, the gradation control does not result in a loss of the number of reproducible shades.

45

The same result can also be obtained in combination with error diffusion methods. It is a well established fact that the precision by which error diffusion techniques are able to render tone values depends only on the precision at which the arithmetic is carried out. On the other hand, the "error feedback" in the such methods explains why these algorithms by themselves produce dot distributions of which the number of halftone dots per unit area is always proportional to the tone value that is offered at their input. This means that a loss of reproducible shades can be avoided by first converting the original pixel values into a representation with a high tone resolution, to apply the desired gradation alteration at this high tone resolution, and then to render the altered pixel values by means of an error diffusion technique that is carried out at (at least) the same high tone resolution.

55

FIG. 8 shows a first circuit to perform the halftoning method for a non-periodic screen function in combination with a binary recording device. First the different building blocks of this circuit are described, followed by the operation of the circuit. Block 802 is a memory block containing the contone pixel values of an image. Typically these are 8-bit values, organized as  $N$  lines with  $M$  columns. The contents of block 802 can for example be the result of scanning a photographic original image. Block 804 is a memory block with the same layout as block 802, in which the halftoned pixel values are to be stored after processing. In the case of a binary recording device, every halftoned pixel has a word length of 1 bit. Block 806 is a binary recording device, capable of recording the information on a substrate 808.

Block 820 is a unit that produces uncorrected, uncompensated 16-bit threshold values representing the stochastic screen function. Block 822 is a lookup table which converts uncompensated 16-bit screen function values  $S_{16}$  to compensated 8-bit screen function values  $S_8$ . Block 830 is a comparator and block 840 is an address generator.

The operation of the circuit is explained as follows. The address generator 840 sequentially generates the coordinate positions  $(i,j)$  corresponding to the indices  $i$  and  $j$  of all elements in block 802 and 804. At every coordinate position, a 16-bit screen function value  $S_{16}(i,j)$  is produced by block 820, which is transformed into a corrected 8-bit threshold value  $S_8(i,j)$  by the lookup table 822. This threshold value is compared with the pixel value  $V(i,j)$  in the comparator 830, and depending on the outcome of this comparison, a halftone dot value  $H(i,j)$ , equal to 0 or to 1, is written at the coordinate position  $(i,j)$  of block 804. It will now be explained how the contents of lookup table 822 can be calculated.

In order to calculate the contents of the lookup table 822, one first determines the gradation alteration  $G(t)$  to be used. This is usually done by recording a "wedge-density strip" containing a number of steps in the tone scale through the uncalibrated process. Measuring the recorded density for each step of the wedge-density strip enables one to characterize and to model (for example by means of a polynomial) the behaviour of the uncalibrated device. The desired gradation compensation is then found as the function which alters the tone values such that the device produces the desired tone response. The gradation compensation function  $G(x)$  at that point is usually available in an "explicit" form, i.e., in the form of number pairs  $(x, G(x))$ . As a result of its conditional (and assumed previously verified) monotonic nature, the derivative of the function  $G$  does not change sign across its domain. By swapping the axis and coordinate values of the number pairs  $(x, G(x))$ , an explicit representation of the inverse gradation function  $G^{-1}(x)$  is obtained, containing the couples  $(G(x), x)$ . It is convenient at this stage first to:

- (1) rescale the data so that both axes  $(x, G(x))$  are normalized (i.e., represented on a scale from 0.0 to 1.0); and,
- (2) to move from an explicit to an implicit representation of the function  $G^{-1}(x)$ , for example by modelling  $G^{-1}(x)$  as a polynomial (a convenient method for obtaining such a polynomial is the application of a regression technique to the couples  $(G(x), x)$  of the explicit notation).

The following fragment of pseudo code shows how the contents of the lookup table can be calculated:

```

30      Calculate_LUT (G-1, LUT)
            unsigned char *LUT;
            float *G-1;
{
35            int i, N16, N8;
            float x, y;
            N16 = 65535;
40            N8 = 255;
            for (i = 0; i <= N16; i++) {
                x = (float) i / N16;
                y = G-1(x);
                LUT[i] = (int) N8*y;
            }
        }

```

It is evident that the lookup table 822 can contain data which alters the tone gradation of the input pixel values in any manner desired, subject only to the requirement that the function  $G(x)$  be monotonic in nature. Accordingly, in addition to or instead of the above calculation, any monotonic function can be reduced to explicit form and stored in the table, including one aimed at altering the tone gradation for aesthetic purposes.

A second circuit for gradation compensation using a non-periodic screen function is shown in FIG. 9. The circuit is based upon that previously described, except for the fact that it contains more than one screen function generator 820, each having its own correction lookup table 822. It should be noted that the contents of the LUT's 822 are in general different from each other, and apply to different regions of the contone image in memory 802. Selector switch

910 is coupled to address generator 840, and selects which one of the compensated 8-bit values is selected for comparison with the contone value  $V(i,j)$  at the comparator 830. This mechanism effectively allows screening of different parts of the contone image in block 802 with different screen functions. One part of the image can for example be screened with a dot-size modulation technique, while another part can be screened with a FREQUENCY-MODULATION HALFTONING technique. Having the respective lookup tables calculated so that both processes produce the same gradation when rendered on the press makes possible the use of both technologies on the same page without annoying differences in gradation resulting from the simultaneous use of different screening processes.

It will be appreciated that being able to mix page elements using different screening technologies in combination with different gradation alterations is useful not only when at least one of them is a FREQUENCY-MODULATION HALFTONING technique, but also when all of the components are rendered with any halftoning technique wherein individual elements require their own compensation (for example, due to different dot-size modulation line rulings, or for aesthetic purposes).

FIG. 10 shows an example of a circuit which can be used for implementation of error diffusion gradation compensation in combination with a binary recording device. The error diffusion algorithm in this drawing is one in which the halftoning error is entirely propagated to only one pixel. Block 1002 is a lookup table which transforms uncorrected 8-bit pixel values into corrected 16-bit values. The error of the previously halftoned pixel is added to the corrected halftone pixel with 16-bit precision in arithmetic unit 1006. At the next clock cycle, this 16-bit value is shifted through the delay register 1010. Comparator 1020 compares this value with the threshold value generated by block 1030. Depending on the outcome of this comparison, a halftone value of either 0 or 65536 is produced at the output of the comparator. The most significant bit of this number (which is either a 0 or a 1) is obtained as the output of shift register 1044, and used to turn the modulator of the laser recording device 806 either "on" or "off". At the same time, a new error is then calculated in arithmetic unit 1040 from the difference between the halftoned value at the output of the comparator 1020 and the value at its input.

Having described in detail preferred embodiments of our invention, it will now be apparent to those skilled in the art that numerous modifications can be made therein without departing from the scope of the invention as defined in the following claims.

### Claims

1. A method for producing halftone values for a frequency-modulated halftone image from contone pixels of a continuous tone image, comprising the following steps :

- generating a threshold value  $T$  according to a non-periodic screen function  $S$  ;
- altering said threshold value  $T$  to produce a corresponding altered threshold value  $T'$  for gradation compensation ; and,
- using said altered threshold value  $T'$  to produce a halftone value from a pixel value of a contone pixel ;

is characterised in that said threshold value  $T$  is generated at a tone resolution higher than the tone resolution of said pixel value.

2. Method according to claim 1 further comprising the steps of :

- generating another non-periodic screen function  $S_2$  ;
- altering said another non-periodic screen function  $S_2$  to produce a corresponding another non-periodic screen function  $S_2'$  ; and,
- utilizing said corresponding another non-periodic screen function  $S_2'$  and pixel values other than said pixel value to produce at least one halftone value for said halftone image.

3. Method according to claim 1 or 2, wherein :

the tone resolution of said altered threshold value  $T'$  is coarser than  
said tone resolution of said threshold value  $T$ .

4. Method according to any of claims 1 to 3, comprising the steps of :

- establishing a tone gradation function  $G$  desired to compensate for gradation in the pixel values to be rendered ;
- using said tone gradation function  $G$  to obtain an inverse gradation function  $G^{-1}$  ;

- using said inverse gradation function  $G^{-1}$  for altering said threshold value T to produce said altered threshold value  $T'$ .
- 5        5. Method according to any of claims 1 to 4, wherein said threshold value T or said altered threshold value  $T'$  compensates for press gain effects.
- 10      6. Method according to any of claims 1 to 5, wherein said threshold value T or said altered threshold value  $T'$  compensates for recorder gain effects.
- 15      7. Method according to any of claims 1 to 6, wherein said threshold value T or said altered threshold value  $T'$  compensates the tonal characteristics for aesthetic purposes.
8. Method according to any of claims 1 to 7, wherein said threshold value T is altered at said tone resolution of said threshold value T.
- 15      9. Method according to any of claims 1 to 8, wherein said halftone values are bi-level.
10. Method according to any of claims 1 to 8, wherein said halftone values are multi-level.
- 20      11. The halftone image produced by the method according to any of claims 1 to 10.

#### Patentansprüche

- 25      1. Verfahren zum Produzieren von Rasterwerten für ein frequenzmoduliertes Rasterbild aus Halbtönbildpunkten eines Halbtönbildes, umfassend folgende Schritte:
- Erzeugen eines Schwellwertes T gemäß einer nichtperiodischen Rasterfunktion S;
  - Verändern des Schwellwertes T, um zur Gradationskompensation einen entsprechenden veränderten Schwellwert  $T'$  zu produzieren; und
  - Verwenden des geänderten Schwellwertes  $T'$ , um aus einem Bildpunktwert eines Halbtönbildpunktes einen Rasterwert zu produzieren;
- 30      dadurch gekennzeichnet, daß der Schwellwert T mit einer Tonwertauflösung erzeugt wird, die höher liegt als die Tonwertauflösung des besagten Bildpunktwertes.
- 35      2. Verfahren gemäß Anspruch 1, das weiterhin folgende Schritte umfaßt:
- Erzeugen einer weiteren nichtperiodischen Rasterfunktion  $S_2$ ;
  - Verändern der weiteren nichtperiodischen Rasterfunktion  $S_2$ , um eine entsprechende weitere nichtperiodische Rasterfunktion  $S'_2$  zu produzieren; und
  - Verwenden der entsprechenden weiteren nichtperiodischen Rasterfunktion  $S'_2$  und von anderen Bildpunktwerten, bei denen es sich nicht um den besagten Bildpunktwert handelt, um mindestens einen Rasterwert für das Rasterbild zu produzieren.
- 40      3. Verfahren nach Anspruch 1 oder 2, bei dem:  
die Tonwertauflösung des geänderten Schwellwertes  $T'$  größer ist als  
die Tonwertauflösung des Schwellwertes T.
- 45      4. Verfahren nach einem der Ansprüche 1 bis 3, das folgende Schritte umfaßt:
- Festlegen einer Tonwertgradationsfunktion G, die die Gradation in den wiederzugebenden Bildpunktwerten kompensieren soll;
  - Verwenden der Tonwertgradationsfunktion G, um eine inverse Gradationsfunktion  $G^{-1}$  zu erhalten;
  - Verwenden der inversen Gradationsfunktion  $G^{-1}$  zum Verändern des Schwellwertes T, um den veränderten Schwellwert  $T'$  zu produzieren.

5. Verfahren nach einem der Ansprüche 1 bis 4, bei dem der Schwellwert T oder der veränderte Schwellwert T' die Effekte der Pressenvergrößerung kompensiert.
6. Verfahren nach einem der Ansprüche 1 bis 5, bei dem der Schwellwert T oder der veränderte Schwellwert T' die Effekte der Belichtervergrößerung kompensiert.
7. Verfahren nach einem der Ansprüche 1 bis 6, bei dem der Schwellwert T oder der veränderte Schwellwert T' die Tonwerteigenschaften aus ästhetischen Gründen kompensiert.
10. 8. Verfahren nach einem der Ansprüche 1 bis 7, bei dem der Schwellwert T mit der Tonwertauflösung des Schwellwertes T verändert wird.
9. Verfahren nach einem der Ansprüche 1 bis 8, bei dem die Rasterwerte zwei Stufen aufweisen.
15. 10. Verfahren nach einem der Ansprüche 1 bis 8, bei dem die Rasterwerte mehrere Stufen aufweisen.
11. Durch das Verfahren gemäß einem der Ansprüche 1 bis 10 produziertes Rasterbild.

20 **Revendications**

1. Procédé de production de valeurs de demi-teinte pour une image de tramage à modulation de fréquence à partir de pixels co-tonals d'une image à modélisé continu, comprenant les étapes suivantes consistant à
  - 25 - produire une valeur de seuil T selon une fonction de grille non périodique S;
  - modifier ladite valeur de seuil T pour produire une valeur de seuil modifiée T' correspondante pour une compensation de la gradation; et
  - utiliser ladite valeur de seuil modifiée T' pour produire une valeur de demi-teinte à partir d'une valeur de pixel d'un pixel co-tonal;
- 30 caractérisé en ce que ladite valeur de seuil T est produite à une résolution co-tonale supérieure à la résolution co-tonale de ladite valeur de pixel.
2. Procédé selon la revendication 1, comprenant en outre les étapes consistant à:
  - 35 - produire une autre fonction de grille non périodique S<sub>2</sub>;
  - modifier ladite autre fonction de grille non périodique S<sub>2</sub> pour produire une autre fonction de grille non périodique S<sub>2</sub>' correspondante; et
  - utiliser ladite autre fonction de grille non périodique S<sub>2</sub>' correspondante et des valeurs de pixels autres que ladite valeur de pixel pour produire au moins une valeur de demi-teinte pour ladite image de trame.
3. Procédé selon la revendication 1 ou 2, dans lequel:
  - 45 la résolution tonale de ladite valeur de seuil modifiée T' est plus grossière que ladite résolution tonale de ladite valeur de seuil T.
4. Procédé selon l'une quelconque des revendications 1 à 3, comprenant les étapes consistant à:
  - 50 - définir une fonction de gradation tonale G voulue pour compenser la gradation des valeurs de pixels à restituer;
  - utiliser ladite fonction de gradation tonale G pour obtenir une fonction de gradation inverse G<sup>-1</sup>;
  - utiliser ladite fonction de gradation inverse G<sup>-1</sup> pour modifier ladite valeur de seuil T afin de produire ladite valeur de seuil modifiée T'.
5. Procédé selon l'une quelconque des revendications 1 à 4, dans lequel ladite valeur de seuil T ou ladite valeur de seuil modifiée T' compense les effets de gain de presse.
- 55 6. Procédé selon l'une quelconque des revendications 1 à 5, dans lequel ladite valeur de seuil T ou ladite valeur de seuil modifiée T' compense les effets de gain d'enregistreur.

7. Procédé selon l'une quelconque des revendications 1 à 6, dans lequel ladite valeur de seuil T ou ladite valeur de seuil modifiée T' compense les caractéristiques tonales dans un but esthétique.
- 5 8. Procédé selon l'une quelconque des revendications 1 à 7, dans lequel ladite valeur de seuil T est modifiée à ladite résolution tonale de ladite valeur de seuil T.
9. Procédé selon l'une quelconque des revendications 1 à 8, dans lequel lesdites valeurs de demi-teinte sont à deux niveaux.
- 10 10. Procédé selon l'une quelconque des revendications 1 à 8, dans lequel lesdites valeurs de demi-teinte sont à plusieurs niveaux.
11. Image tramée produite par le procédé selon l'une quelconque des revendications 1 à 10.

15

20

25

30

35

40

45

50

55

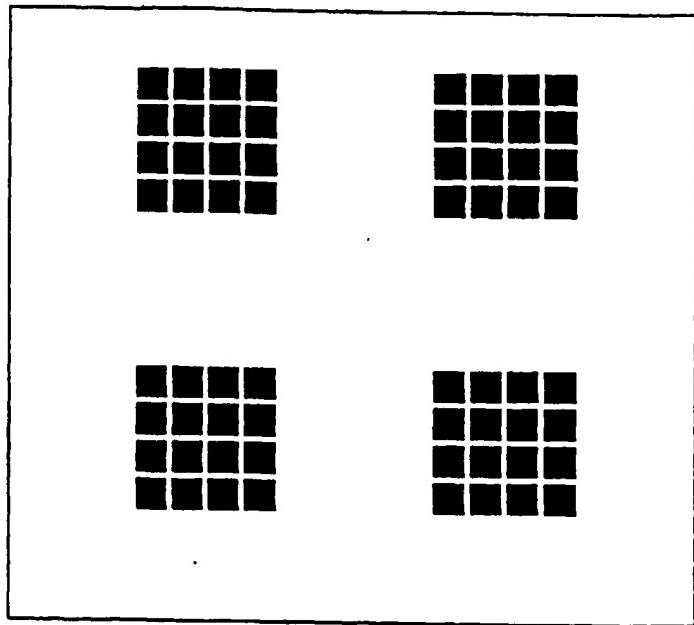


Fig. 1A

(PRIOR ART)

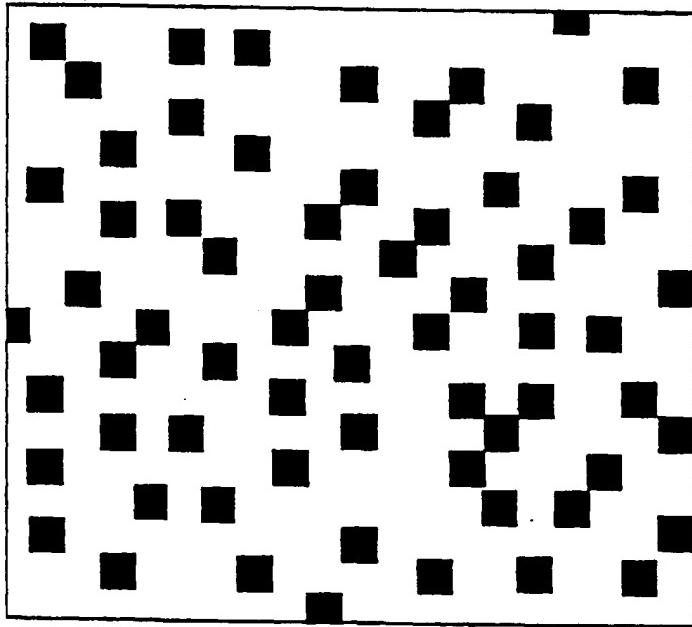
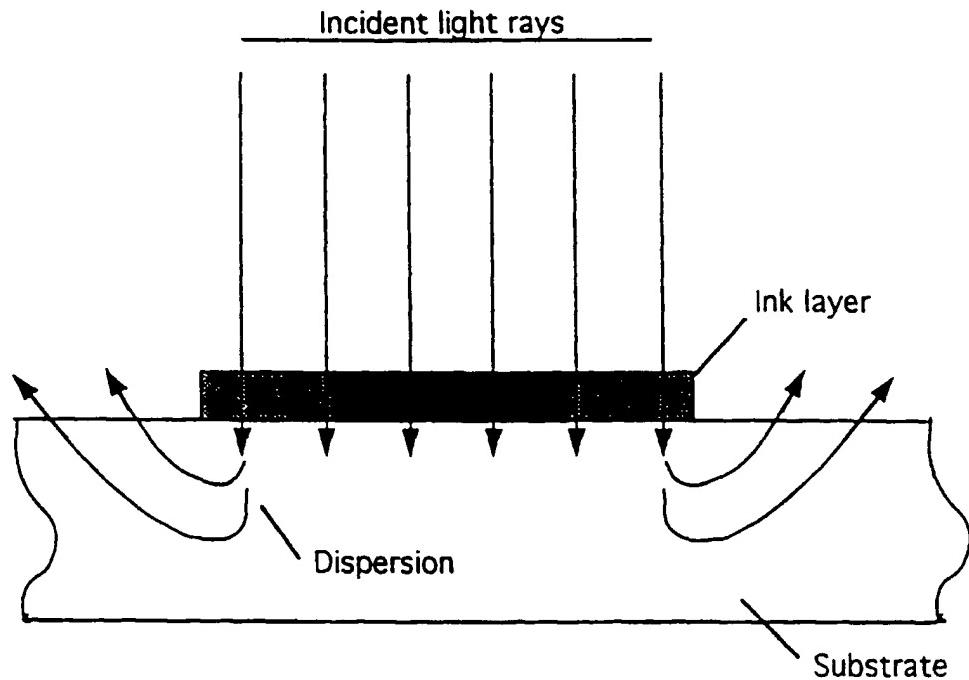


Fig 1B

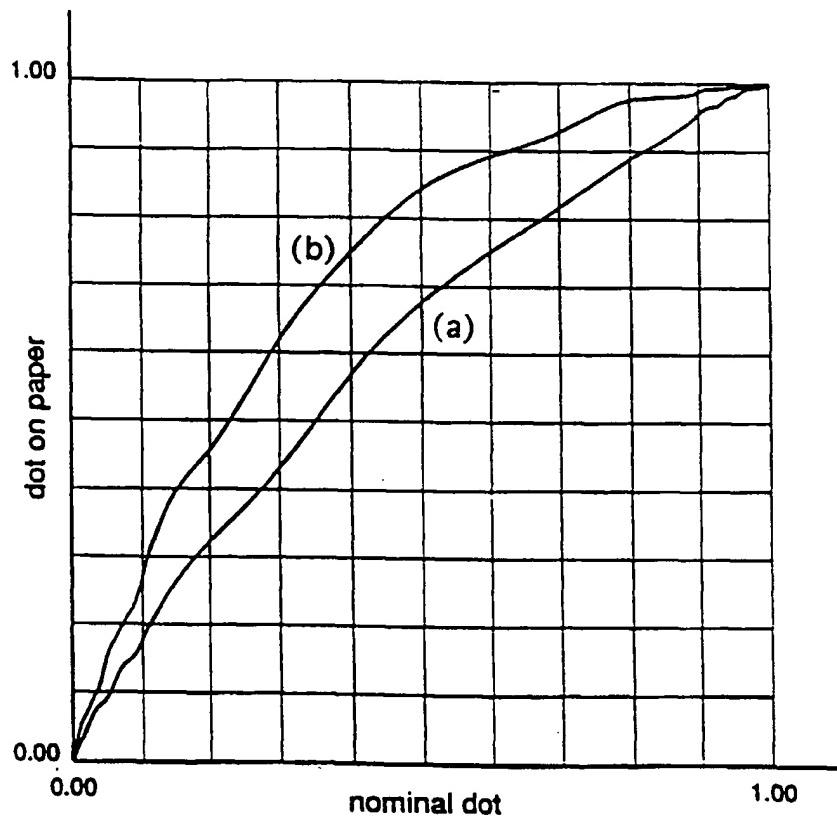
(PRIOR ART)



**Fig. 2**

**(PRIOR ART)**

**BEST AVAILABLE COPY**



**Fig. 3**      (PRIOR ART)

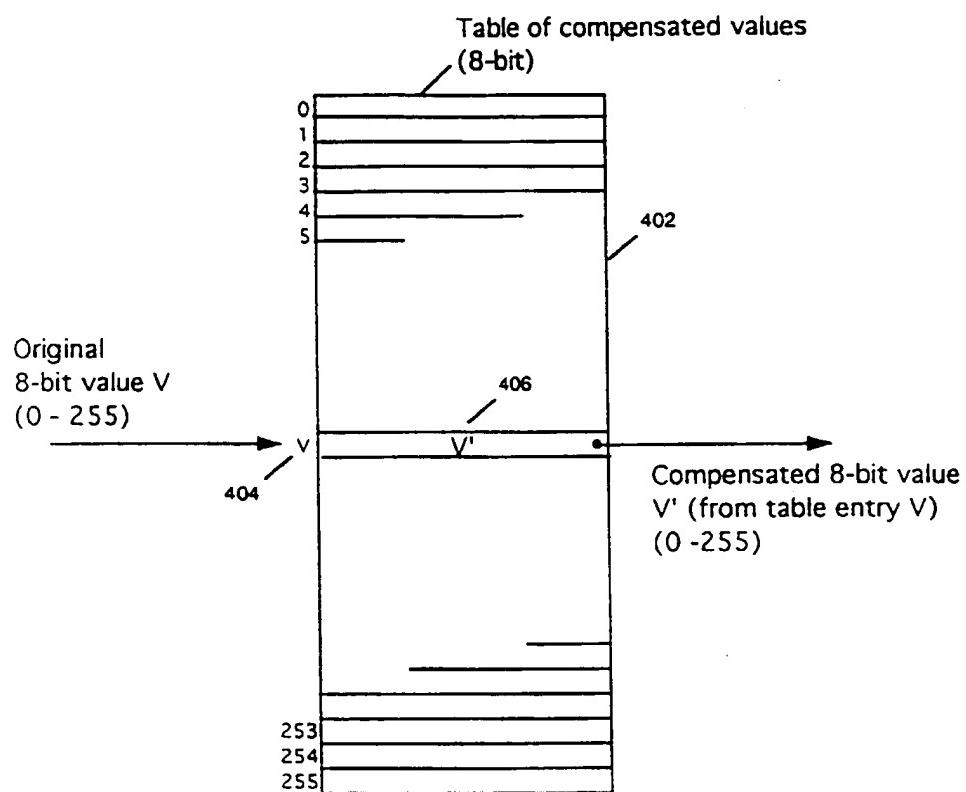
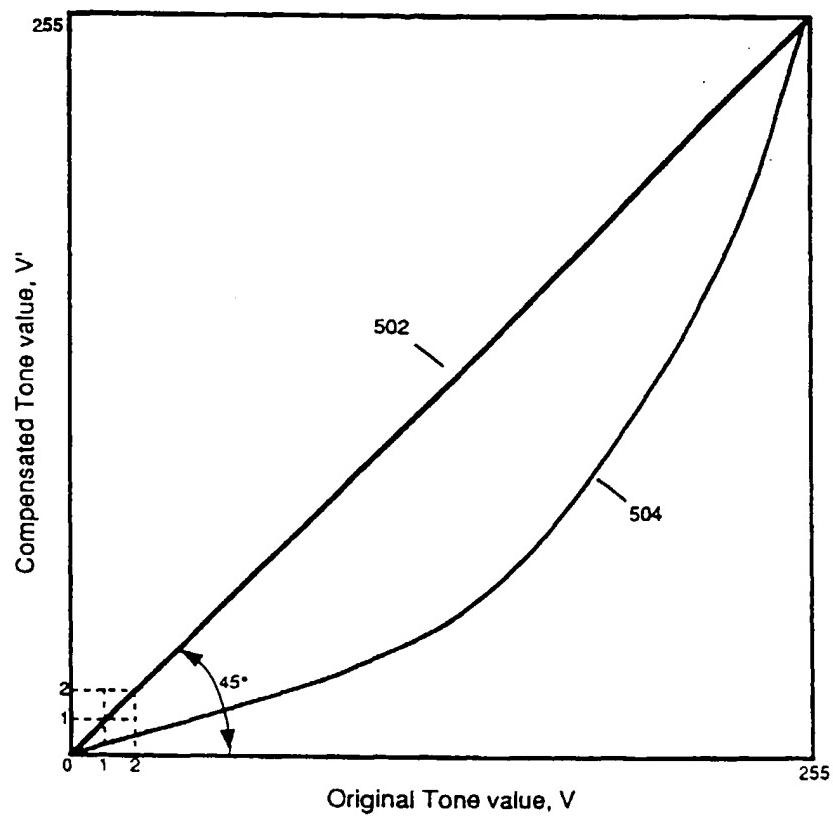
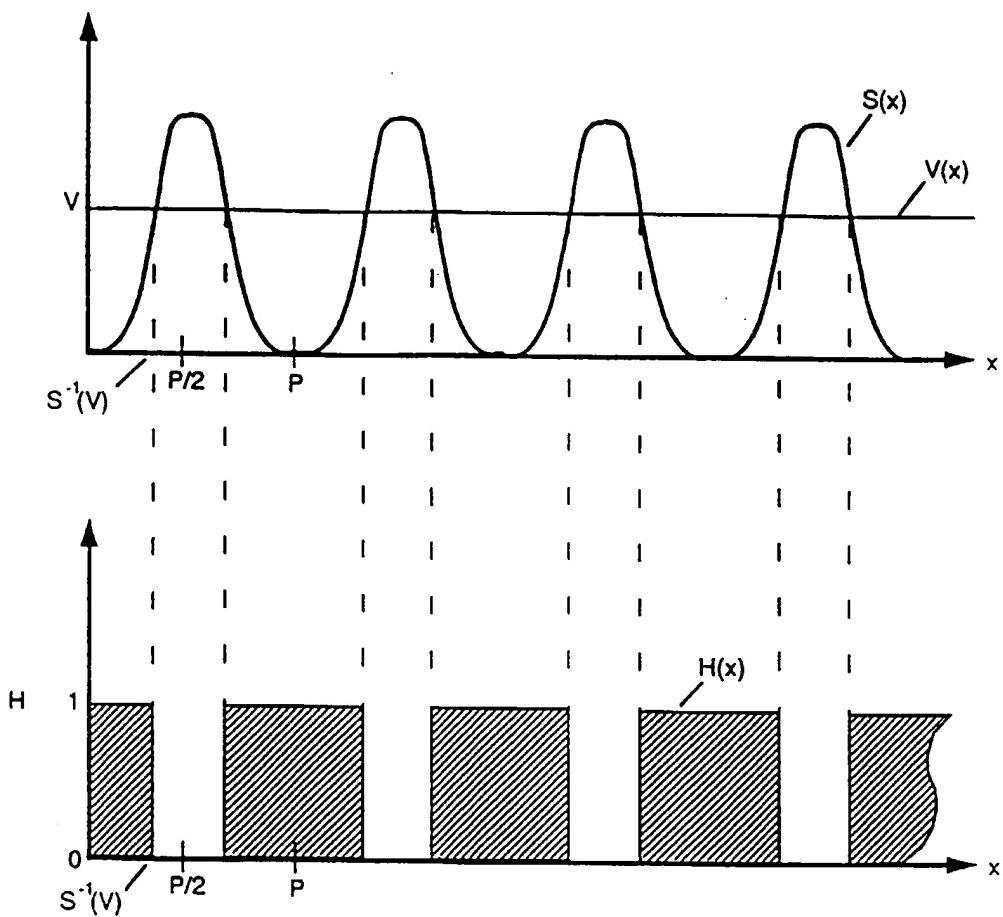


Fig. 4

(PRIOR ART)



**Fig. 5**      (PRIOR ART)



**Fig. 6** (PRIOR ART)

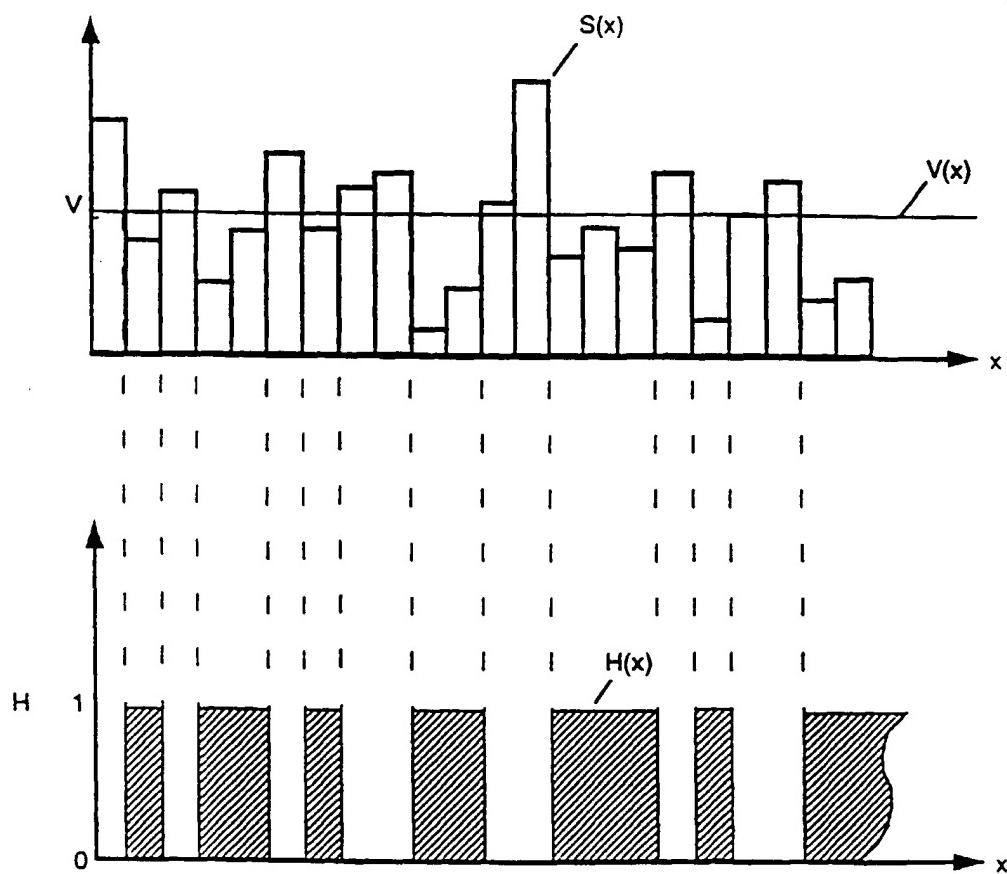


Fig. 7A

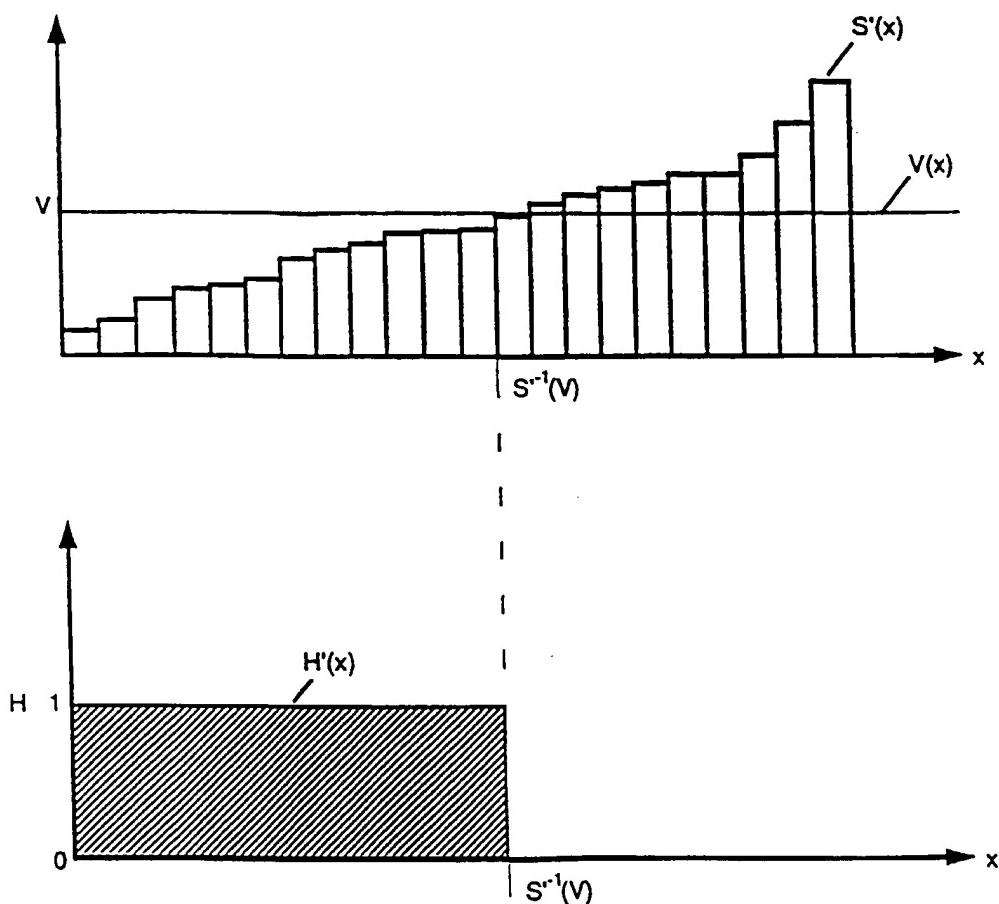


Fig. 7B

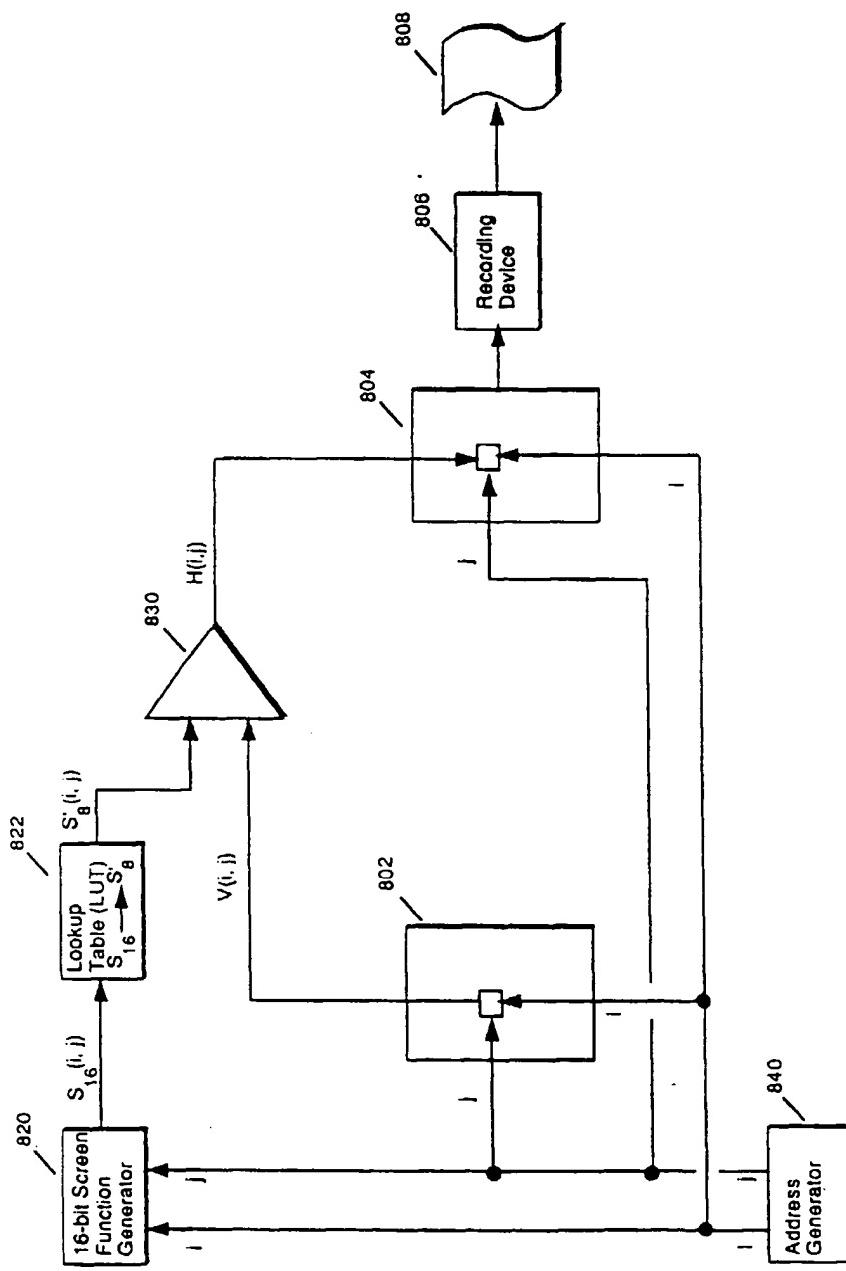


Fig. 8

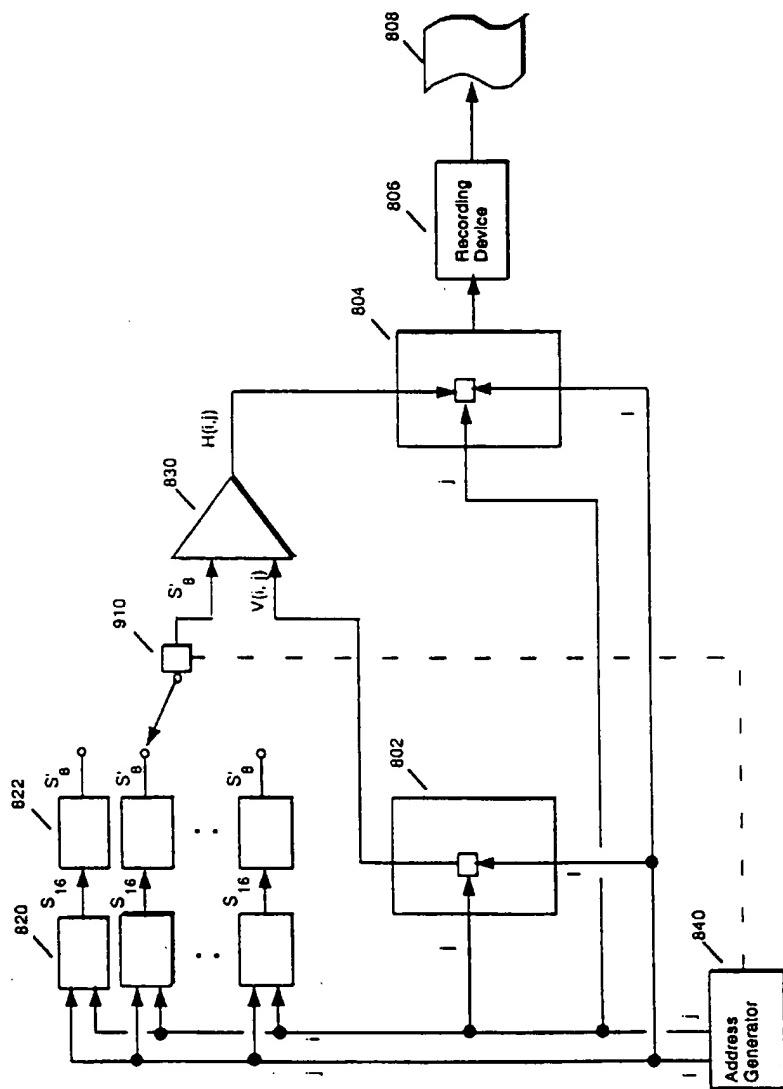


Fig. 9

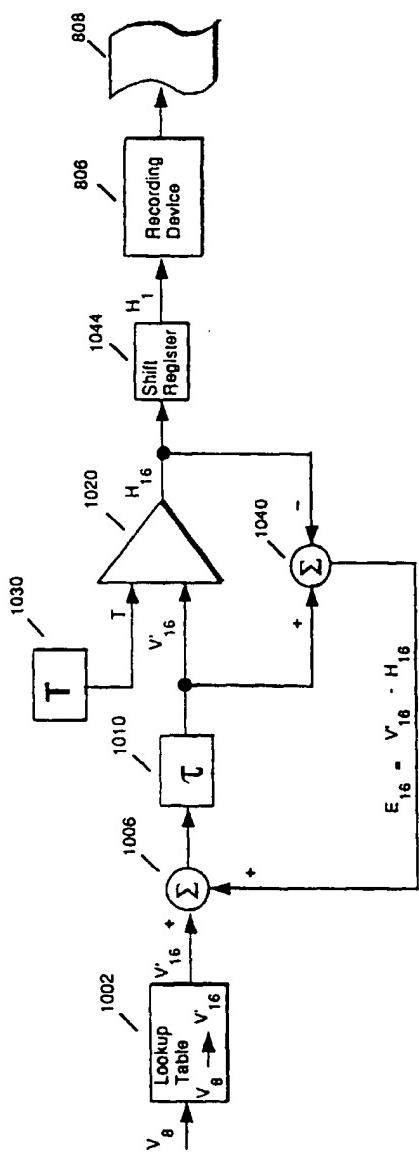


Fig. 10